Conditional Random Fields Meet Deep Neural Networks for Semantic Segmentation

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Abstract—Semantic Segmentation is the task of labelling every pixel in an image with a pre-defined object category. It has numerous applications in scenarios where the detailed understanding of an image is required, such as in autonomous vehicles and medical diagnosis. This problem has traditionally been solved with probabilistic models known as Conditional Random Fields (CRFs) due to their ability to model the relationships between the pixels being predicted. However, Deep Neural Networks (DNNs) have recently been shown to excel at a wide range of computer vision problems due to their ability to learn rich feature representations automatically from data, as opposed to traditional hand-crafted features. The idea of combining CRFs and DNNs have achieved state-of-the-art results in a number of domains. We review the literature on combining the modelling power of CRFs with the representation-learning ability of DNNs, ranging from early work that combines these two techniques as independent stages of a common pipeline to recent approaches that embed inference of probabilistic models directly in the neural network itself. Finally, we summarise future research directions.

Keywords—Conditional Random Fields, Deep Learning, Semantic Segmentation.

I. INTRODUCTION

Scene Understanding is a long-standing problem in the field of computer vision and involves developing algorithms to interpret the contents of images with the level of comprehension of a human. Perceptual tasks, such as visual scene understanding, are performed effortlessly by humans. However, replicating the visual cortex on a computer has proven to be a challenging problem, that is still yet to be completely solved, almost 50 years after it was posed to an undergraduate student at MIT as a summer research project [1].

There are many ways of describing a scene, and current computer vision research addresses most of these problems independently, as illustrated in Figure 1. A high-level summary of a scene can be obtained by predicting image tags that describe the objects in the picture (such as “person”) or the scene (such as “city” or “office”). This task is known as image classification. The object detection task, on the other hand, aims to localise different objects in an image by placing bounding boxes around each instance of a pre-defined object category. Semantic Segmentation, the main focus of this article, aims for a more precise understanding of the scene by assigning an object category label to each pixel within the image. Recently, researchers have also begun tackling new scene understanding problems such as instance segmentation, which aims to assign a unique identifier to each segmented object in the image, as well as bridging the gap between natural language processing and computer vision with tasks such as image captioning and visual question answering, which aim at describing an image in words, and answering textual questions from images respectively.

Scene Understanding tasks, such as semantic segmentation, enable computers to extract information from real world scenarios, and to leverage this information to accomplish given tasks. Semantic Segmentation has numerous applications such as in autonomous vehicles which need a precise, pixel-level understanding of their environment, developing robots which can navigate and manipulate objects in their environment, diagnosing medical conditions by segmenting cells, tissues and organs of interest, image- and video-editing and developing “smart-glasses” which describe the scene to the blind.

Semantic Segmentation has traditionally been approached using probabilistic models known as a Conditional Random Fields (CRFs), which explicitly model the correlations among the pixels being predicted. However, in recent years, deep neural networks have been shown to excel at a wide range of computer vision and machine learning problems as they can automatically learn expressive feature representations from massive datasets. Despite the representational power of deep neural networks, state-of-the-art segmentation algorithms, which are benchmarked on public computer vision datasets and evaluation servers where the test set is withheld, all include a CRF within their pipelines. Some approaches include CRFs as a separate stage of the pipeline whilst the leading ones incorporate it within the neural network itself.

In this article, we review CRFs and deep neural networks in the context of dense, pixel-wise prediction tasks, and how CRFs can be incorporated into neural networks to combine the advantages of these two models. Markov Random Fields (MRFs), Conditional Random Fields (CRFs) and more generally, probabilistic graphical models are ubiquitous tools with a long history of applications in a variety of domains spanning computer vision, computer graphics and image processing [2]. This is due to their ability to model correlations in the variables being predicted. Deep Neural Networks (DNNs), on the other hand, are also fast becoming the de facto method of choice in a variety of machine learning tasks as they can learn rich
Fig. 1: Example of various Scene Understanding tasks. Some tasks, such as image classification, provide a high-level description of the image by classifying whether certain tags exist. Other tasks like object detection, semantic segmentation and instance segmentation provide more detailed and localised information about the scene. Researchers have also begun to bridge the gap between natural language processing and computer vision with tasks such as image captioning and visual question-answering.

II. CONDITIONAL RANDOM FIELDS

A naïve way of performing dense prediction tasks like semantic segmentation is to classify each pixel independently using some features derived from the image. However, such independent pixel-wise classification often produces unsatisfactory results that are inconsistent with the visual features in the image. For example, an independent pixel-wise classification can predict a few spurious incorrect labels in the middle of a blob of pixels that are classified to have the same label (e.g. a few “dog” pixels in the middle of a blob that is classified as “cat”, as shown in Fig. 2). In order to predict the label of each pixel, a local classifier uses a small spatial context in the image (as shown by the patch in Fig 2c), and this often leads to noisy predictions. Better results can be obtained by acknowledging that we are predicting a structured output and explicitly modelling the problem to include our prior knowledge about a good pixel-wise prediction result. For example, we know that objects are usually continuous and thus we expect nearby pixels to be assigned the same object label. Conditional Random Fields (CRFs) are models that are widely used to achieve this. In the following, we provide a tutorial introduction to CRFs in the semantic image segmentation setting.

Conditional Random Fields are a classical tool for modelling complex structures consisting of a large number of interrelated parts. In the above example of image segmentation, these parts correspond to separate pixels. Each pixel $u$ is associated with a finite set of its possible states $L = \{l_1, l_2, \ldots, l_L\}$, modelled by a variable $X_u \in L$. In the example in Fig. 2, these finite states are the labels that can be assigned to each pixel, i.e. $L = \{\text{person}, \text{cat}, \text{dog}, \text{background}\}$. Each state has an associated unary cost $\psi_u(X_u = x|I)$, which has to be paid to assign label $x$ to the pixel $u$, given the image $I$. This unary cost is typically obtained from a classifier, as described in the previous paragraph, and with only the
Fig. 2: Overview of Semantic Segmentation. Every pixel $u$ is associated with a random variable $X_u$ which takes on a label from a pre-defined label set (b). A naïve method of performing this would be to train a classifier to predict the semantic label of each pixel, using features derived from the image. However, as we can see from (c), this tends to result in very noisy segmentations. This is because in order to predict a pixel, the classifier would only have access to local pixel features, which are often not discriminative enough. By taking the relationship between different pixels into account (such as the fact that if a pixel has been labelled “cat”, nearby ones are likely to take the same label since cats are continuous objects) with a CRF, we can improve our labelling (d).

In terms of graph theory, a CRF can be understood as a graph $(V, E)$, with nodes $V$ corresponding to the image pixels, and edges $E$ connecting those node pairs for which a pairwise cost is defined. The following graphs are common in segmentation literature: (a) regular or grid graphs, where all pairs of pixels are connected by edges (Row 2 and 3 of Fig 3). Intuitively, grid graphs (such as the 4-grid graph in Fig. 2) can only propagate information to a limited number of neighbours. By contrast, fully-connected graphs enable long-range interactions between pixels which can lead to more precise segmentations as shown in Fig. 3. However, grid graphs were traditionally favoured in segmentation systems [10], [3], [9] since there exist efficient inference algorithms to solve the corresponding segmentation problem.

Let $X_u$ be the variable associated with the node $u \in V$ and let $\mathbf{X}$ be the vector formed by the $X_u$ variables under some ordering of $V$. An assignment $\mathbf{x}$ to $\mathbf{X}$ is known as a configuration or a labelling, i.e., a configuration assigns a label to each node in the CRF. Inference of the CRF involves finding a configuration $\mathbf{x}$, such that the total unary and pairwise costs, also called the energy, are minimised. The corresponding problem is called energy minimization for CRFs, where the energy is given by:

$$E(\mathbf{x}, I) := \sum_{u \in V} \psi_{u,v}(X_u = x_u | I) + \sum_{\{u,v\} \in E} \psi_{u,v}(X_u = x_u, X_v = x_v | I). \quad (1)$$

Although the energy minimization problem is NP-hard [11], a number of exact and approximate algorithms exist to obtain acceptable solutions (see [12] for an overview). Exact algorithms typically apply to only special cases of the energy, whilst approximate algorithms efficiently find a solution to a simplification of the original problem. In particular, the most popular methods for image segmentation were initially based on the reduction of the energy minimization problem or its parts to the st-min-cut problem [13]. However, the complexity of these algorithms grow as the graph becomes more dense.

By contrast, fully-connected graphs with a specific type of pairwise cost can be efficiently (albeit approximately) addressed by mean-field algorithms, as detailed in Section II-A.
These fully-connected graphs are now the most common model in segmentation.

The learning problem involves estimating cost functions based on a training set \( \{(I^{(k)}, x^{(k)})\}_{k=1}^{n} \) consisting of \( n \) pairs of images and corresponding ground truth labellings. The costs must be designed in a way that the inference performed for the image \( I \) returns a labelling that is close to the ground truth \( x \). Since statistical parameter estimation builds a basis for the learning theory, one defines a probability distribution \( p(x|I) = \frac{1}{Z(I)} \exp\{-E(x,I)\} \) on the set of labellings. Here \( Z(I) = \sum_{x} \exp\{-E(x,I)\} \) is a normalization factor known as the partition function, which ensures that the distribution sums to 1 (to make it a probability distribution).

A popular learning problem formulation is based on the maximum likelihood principle and consists in finding costs \( \psi_u \) and \( \psi_{uv} \) that maximize the probability of the training set \( \{(I^{(k)}, x^{(k)})\}_{k=1}^{n} \). The crucial subproblem, which determines the computational complexity of such estimation, consists in computing marginal probabilities for each label \( x_u \) in each node \( u \) and the pair \( (x_u, x_v) \) of labels in each pair of nodes \( (u, v) \), connected by an edge in the associated graph. The marginal probabilities build sufficient statistics for the distribution \( p \) and therefore need to be computed to perform learning. To compute the marginal probability \( p_u(x_u) \) for the label \( x_u \) in the graph node \( u \), one has to perform the summation \( \sum_{x'}:x'_u = x \ p(x') \) over all possible labellings where node \( u \) takes on label \( x_u \). Combinatorial complexity of this problem arises, because the number of such labellings is exponentially large and the explicit summation is typically computationally infeasible. Moreover, it is a well-known result [14] stating that this problem is \#P-hard, or, loosely speaking, there is no hope for a reasonably fast algorithm being able to perform such computations in general.

A number of approaches have been proposed to approximate these computations. Below we review the most popular ones:
A. Mean-field inference facilitating dense pairwise terms

Although computing the marginal probabilities is a hard problem in general, it can be easily done in certain special cases. In particular, it is an easy task if the graphical model only contains unary terms (and therefore no edges). In this case all marginal probabilities are simply inversely proportional to the unary costs. Distributions corresponding to such graphs without edges are called fully factorized. The mean-field approach approximates the distribution $p(x|I)$ for a given image $I$ with a fully factorized distribution, $Q(x)$. The approximation is done by minimising the Kullback-Leibler divergence between these two distributions. This minimization constitutes a non-convex problem, therefore only a local minimum is typically found by optimisation algorithms.

In practice, it is particularly important that there is an efficient algorithm to this end in the special case when the graphical model is associated with a fully-connected graph and pairwise costs $\psi_{uv}(x_u, x_v|I)$ for each pair of labels form a Gaussian distribution (up to a normalizing constant) for $x_u \neq x_v$ and equal to 0 otherwise. This model was first introduced by Krähenbühl and Koltun [4], and is known as DenseCRF. The pairwise costs were the sum of two Gaussian kernels: a Gaussian blurring filter, $\exp(-\frac{||p_u-p_{uv}||^2}{2\sigma^2})$, and an edge-preserving bilateral filter, $\exp(-\frac{||p_u-p_{uv}||^2}{2\sigma^2} - \frac{||I_u-I_{uv}||^2}{2\beta^2})$, where $p_u$ and $p_v$ denote the positions of pixels $u$ and $v$, $I_u$ and $I_v$ the colour intensity vectors of these pixels, and $\sigma$ the bandwidth of these filters. Although approximate mean-field inference was used, the model was more expressive compared to previous Grid CRFs and thus achieved significantly improved results with the faster runtime (facilitated by fast Gaussian filtering techniques [15]). As a result, this has become the de facto CRF model for most segmentation tasks, and has achieved the best performance on multiple public benchmarks. Section III describes how DenseCRF has been used in conjunction with CNNs where a CNN-based classifier provides the unary potentials. Section IV details how the mean-field inference algorithm for DenseCRF can be incorporated within the neural network itself.

B. Stochastic sampling-based estimation of marginals

Another approach for approximating marginal probabilities is based on sampling, in particular, on the Gibbs sampling method [16]. In this case, instead of computing the sum over all labellings, one samples such labellings and computes frequencies of all configurations in each node. The advantage of this approach is that in theory, the estimated marginals eventually converge to the true ones.

C. Variational approximations

The problem of computing marginals can be reformulated as a minimization problem [17]. Although this minimization problem is still as difficult as computing marginal probabilities, efficient approximations of the objective function exist. And this approximation of the objective function can be minimised quickly. In Section V we will get back to these approximations in the context of joint training of DNN and CRF models.

In this section, we have introduced CRFs, a common probabilistic graphical model used in semantic segmentation. The performance of these models are, however, influenced heavily by the unary term produced by the classifier. Convolutional Neural Networks have proved to be excellent classifiers in a variety of tasks, and we review their application to dense prediction tasks in the next section.

III. CONVOLUTIONAL NEURAL NETWORKS FOR DENSE PREDICTION

Deep Neural Networks have recently been shown to excel in a number of machine learning tasks, and most problems within the computer vision community are now solved by a class of these neural networks known as Convolutional Neural Networks (CNNs). A detailed overview of neural networks can be found in [18], with which we share our terminology. In contrast to previous classification algorithms, which require the user to manually design discriminative features, neural networks are able to automatically learn features from data when trained with Stochastic Gradient Descent (SGD) (or one of its variants) to minimise a training objective function. Whilst the backpropagation algorithm (a method for efficiently computing gradients of the parameters of a neural network with respect to an objective function, for use in conjunction with optimisation via SGD) for training neural networks has been used since the 1980’s [19], it was the emergence of large-scale datasets such as ImageNet [20] and the parallel computational power of graphics processing units (GPUs) that have enabled neural networks to become very successful in most machine learning tasks. This became apparent to the computer vision community during the 2012 ImageNet challenge where the only entry using a neural network, AlexNet [21], achieved the best performance by a significant margin.

AlexNet [21], like many subsequent neural network architectures, is composed of a sequence of convolutional layers followed by ReLU non-linearities and pooling layers. A sequence of convolutional filters allows a neural network to learn hierarchical representations of images where complex patterns are composed of simpler patterns captured in earlier stages of the network. Convolutional layers are common in computer vision tasks since they preserve spatial information, and also since they are translationally equivariant – they have the same response at different parts of the image.

The last layers of successful CNN architectures [21, 22, 23] are typically inner-product or fully-connected layers (as common in traditional multi-layer perceptrons [24, 19] which used these layers throughout the network). These layers consider all features in the input to make the final prediction in the case of image classification, and the final fully-connected layer can be thought of as a linear classifier (operating on the non-linear features produced by preceding layers of the neural network). The final output of the network is a $C$-dimensional vector where $C$ is the number of classes and each element in the vector represents the probability of each class appearing in the image. Hence, a typical CNN designed for
image classification can be thought of as a function which maps an image of a fixed size to a $C$-dimensional vector of probabilities of each class appearing in the image.

Girshick et al. [25] showed that CNN architectures designed to excel in ImageNet classification could, with minimal modifications, be adapted for other scene understanding tasks such as object detection and semantic segmentation. Furthermore, it was possible for Girshick et al. to fine-tune their network from a network already trained on ImageNet since most of the layers were the same. Fine-tuning from an existing ImageNet-pretrained model provided better parameter-initialisation for training via backpropagation, and has been found to improve performance in many computer vision tasks. Therefore, the work of Girshick et al. suggested that CNNs for semantic segmentation should be based on ImageNet trained architectures as well.

A key idea to extending CNNs designed for image classification to other more complex tasks such as semantic segmentation is realising that a fully connected layer can be considered as a convolutional layer, where the filter size is the same as the size of the input feature map [26], [6]. Long et al. [6] converted the fully-connected layers of common architectures such as AlexNet [21] and VGG [22] into convolutional layers, and named these Fully Convolutional Networks (FCNs). Since these networks consist of only convolutional-, pooling- and ReLU non-linearity layers, they can operate on any arbitrarily sized image. However due to max-pooling in the network, the output would be a downsampled version of the input, as shown in Fig. 4. Common architectures such as AlexNet [21], VGG [22] and ResNet [23] all consist of five pooling layers of size 2x2, and hence the output is downsampled by a factor of 32 in these fully convolutional networks. Long et al. showed that even by simply bilinearly upsampling the coarse predictions up to the original size of the image, state-of-the-art performance at the time of publication could be achieved. This method is simple to implement, can be initialised with the parameters of a CNN trained on ImageNet, and then be fine-tuned on smaller datasets, which significantly improves results over initialising with random weights.

Although the fully-convolutional approach of Long et al. achieved state-of-the-art performance, the predictions of the model were still quite coarse and “blobby”, since the max-pooling stages in earlier parts of the network resulted in a lot of spatial information being lost. As a result, fine structures and object boundaries were usually segmented poorly. This has led to a lot of follow-up work on improving the segmentation performance of neural networks.

Chen et al. [5] used the outputs of a CNN as the unary potentials of a DenseCRF model, and showed that applying a CRF as post-processing on these unaries could significantly improve results and provide sharper boundaries (as shown in Row 3 of Fig. 3). In fact, the absolute performance improvement from applying DenseCRF on CNN unaries was greater than that of TeXtonboost [3] unaries [5]. Other works have improved the CNN architecture by addressing the loss of resolution caused by max-pooling. It is not possible to completely remove max-pooling from a CNN architecture for segmentation, since it will mean that layers deeper down will not have sufficient context or receptive field to make a good prediction. To combat this issue, Atrous [5] or Dilated [27] convolutions have been proposed (inspired by the "algorithme à trous" used in computing the undecimated wavelet transform [28]), which enables the receptive field of a convolution filter to be increased without increasing the number of parameters in the filter. In these works, the last two max-pooling layers were removed, and Atrous convolutions were used thereafter to ensure a large receptive field. Note that it is not possible to remove all max-pooling layers in the network, due to the memory requirements of processing images at full resolution. Other works have learned more complex networks to upsample the low-resolution output of an FCN: In [29] an additional "decoder" network is learned which progressively “unpools” the initial prediction to obtain the final full-resolution output. Ghiasi and Fowlkes [30] learn the basis functions to upsample with in a coarse-to-fine architecture.

Although many architectural innovations have been proposed to improve the segmentation accuracy of neural networks, they have all benefited from additional refinement by a CRF. Furthermore, as Table I shows, algorithms which have achieved state-of-the-art results on public benchmarks such as Pascal VOC [31] have all incorporated CRFs as part of the neural network and trained it jointly with the unary part of the network end-to-end [32], [33], [8]. Similar trends are also being observed on the Cityscapes [34] and ADE20k [35] datasets which have been released in the last year. Intuitively, the improvement from these approaches stems from the fact that the parameters of the unary part of the network, and those of the CRF, may learn to optimally cooperate with each other.

The rest of this article focuses on these approaches which combine CRFs and CNNs in an end-to-end differentiable network: In Section IV, we elaborate on how mean-field inference
TABLE I: Results of recent algorithms on the Pascal VOC 2012 test set. Only the first submission, from 2012, does not use any deep learning. All the other methods use a base CNN architecture derived from an ImageNet pretrained network. Evaluation is performed by a public server on a withheld test set. The performance metric is the Intersection over Union (IoU) [31].

<table>
<thead>
<tr>
<th>Method</th>
<th>IoU [%]</th>
<th>Base Network</th>
</tr>
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<tbody>
<tr>
<td><strong>Methods not using deep learning</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OZP [36]</td>
<td>47.8</td>
<td>–</td>
</tr>
<tr>
<td><strong>Methods not using a CRF</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SDS [37]</td>
<td>51.6</td>
<td>AlexNet</td>
</tr>
<tr>
<td>FCN [6]</td>
<td>67.2</td>
<td>VGG</td>
</tr>
<tr>
<td>Zoom-out [38]</td>
<td>69.6</td>
<td>VGG</td>
</tr>
<tr>
<td><strong>Methods using CRF for post-processing</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DeepLab [5]</td>
<td>71.6</td>
<td>VGG</td>
</tr>
<tr>
<td>EdgeNet [39]</td>
<td>73.6</td>
<td>VGG</td>
</tr>
<tr>
<td>BoxSeg [40]</td>
<td>75.2</td>
<td>VGG</td>
</tr>
<tr>
<td>Dilated Conv [27]</td>
<td>75.3</td>
<td>VGG</td>
</tr>
<tr>
<td>Centrale Boundaries [41]</td>
<td>75.7</td>
<td>VGG</td>
</tr>
<tr>
<td>DeepLab Attention [42]</td>
<td>76.3</td>
<td>VGG</td>
</tr>
<tr>
<td>LRR [30]</td>
<td>79.3</td>
<td>ResNet</td>
</tr>
<tr>
<td>DeepLab v2 [43]</td>
<td>79.7</td>
<td>ResNet</td>
</tr>
<tr>
<td><strong>Methods with end-to-end CRFs</strong></td>
<td></td>
<td></td>
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<tr>
<td>CRF as RNN [7]</td>
<td>74.7</td>
<td>VGG</td>
</tr>
<tr>
<td>Deep Gaussian CRF [8]</td>
<td>75.5</td>
<td>VGG</td>
</tr>
<tr>
<td>Deep Parsing Network [44]</td>
<td>77.5</td>
<td>VGG</td>
</tr>
<tr>
<td>Context [32]</td>
<td>77.8</td>
<td>VGG</td>
</tr>
<tr>
<td>Higher Order CRF [33]</td>
<td>77.9</td>
<td>VGG</td>
</tr>
<tr>
<td>Deep Gaussian CRF [8]</td>
<td>80.2</td>
<td>ResNet</td>
</tr>
</tbody>
</table>

Mean-field is an iterative algorithm, and crucially for optimisation via SGD, the derivative of the output with respect to the input of each iteration can be calculated analytically. Therefore, we can unroll the inference algorithm across its time-steps, and form a Recurrent Neural Network (RNN) [18]. An RNN is a type of neural network, usually used to model sequential data, where the output of one iteration is used as the input of the next iteration and all iterations share the same parameters. In this case, the sequence is formed from the output of the iterative mean-field inference algorithm on each time step. When training the network, we can back-propagate through the RNN, and into the previous CNN to optimise all parameters jointly. Furthermore, as shown in [7] and described next, for the DenseCRF model, the inference algorithm turns out to consist of standard CNN operations, making its implementation simple and efficient in standard neural network libraries. In Sec. IV-B we describe how this idea can be extended beyond DenseCRF to other types of potentials, while Sec IV-E mentions how the idea of unrolling inference algorithms has subsequently been employed in other domains using deep learning.

A. CRF as RNN [7]

As mentioned in Sec. II-A, mean-field is an approximate inference method which approximates the true probability distribution, $P(X|I)$, with a simpler distribution, $Q(X|I)$. For notational simplicity, we omit the conditioning on the image, $I$, from here onwards. In mean-field, $Q(X)$ is assumed to be a product of independent marginals, $Q(X) = \prod_u Q_u(x_u)$. The KL divergence between $P(X)$ and $Q(X)$ is then iteratively minimised. The Maximum A Posteriori (MAP) estimate of $P(X)$ is approximated as the MAP estimate of $Q(X)$. Since $Q(X)$ is fully-factorised, the MAP estimate is simply the label which maximises each independent marginal $Q_u$.

In the case of DenseCRF [4] (introduced in Sec. II-A), where the energy is of the form of Eq. 1, and the pairwise potentials are sums of Gaussian kernels,

$$\psi_{u,v}(x_u, x_v) = \mu(x_u, x_v) k(f_u, f_v)$$

$$k(f_u, f_v) = w^{(1)} \exp \left( -\frac{\|p_u - p_v\|^2}{2\sigma^2} \right) + (2)$$

$$w^{(2)} \exp \left( -\frac{\|p_u - p_v\|^2}{2\sigma^2} - \frac{\|I_u - I_v\|^2}{2\sigma^2} \right),$$

the mean-field update equations take the form:

$$Q_u(l) =$$

$$\frac{1}{Z_u} \exp \left\{ -\psi_u(l) - \sum_{l' \in L_u} \mu(l, l') \sum_{m=1}^M u^{(m)} \sum_{v \neq u} k^{(m)}(f_u, f_v)Q_v(l') \right\}. \quad (3)$$

The $\mu(\cdot, \cdot)$ function represents the compatibility of the labels assigned to variables $X_u$ and $X_v$. In DenseCRF, the common Potts model (Sec. II) was used, where $\mu(x_u, x_v) = 0$ if $x_u =$
Algorithm 1 Mean field inference for Dense CRF [4], composed from common CNN operations.

\begin{algorithm}
\begin{algorithmic}
\Procedure{Mean field inference}{} \Comment{Initialization}
\State $Q_u(l) \leftarrow \frac{1}{\sum_{v} \exp(U_v(l))} \exp(U_u(l))$
\EndProcedure
\While{not converged}
\State $\tilde{Q}^{(m)}_u(l) \leftarrow \sum_{v \neq u} k^{(m)}(f_u, f_v) Q_v(l)$ for all $m$ \Comment{Message Passing}
\State $Q_u(l) \leftarrow \sum_m w^{(m)} \tilde{Q}^{(m)}_u(l)$ \Comment{Weighting Filter Outputs}
\State $Q_u(l) \leftarrow \sum_{l' \in L} \mu(l, l') Q_u(l')$ \Comment{Compatibility Transform}
\State $Q_u(l) \leftarrow U_u(l) - Q_u(l)$ \Comment{Adding Unary Potentials}
\State $Q_u(l) \leftarrow \frac{1}{\sum_{l'} \exp(Q_u(l'))} \exp(Q_u(l))$ \Comment{Normalizing}
\EndWhile
\end{algorithmic}
\end{algorithm}

Fig. 5: A mean-field iteration expressed as a sequence of common CNN operations. The update equation of mean field inference of a DenseCRF model (Eq. 3), can be broken down into a series of smaller steps, as shown in Algorithm 1. Note that not only are these steps all differentiable, but they are all standard neural network operations as well. This allows a single mean-field iteration to be efficiently implemented as a neural network.

$\mathbf{x}_u$ and 1 otherwise. The DenseCRF model has parallels with Convolutional Neural Networks: In DenseCRF with Gaussian pairwise potentials [4], a Gaussian blurring filter, and an edge-preserving bilateral filter are used to compute the pairwise term. The coefficients of the bilateral filter depend on the image itself (pixel intensity values), which differs from a convolution layer in a CNN where the weights are fixed after training. Moreover, although the filter can potentially be as large as the image, it is parameterised only by its bandwidth. The pairwise potential is further parameterised by the weights of each filter, $w^{(1)}$ and $w^{(2)}$, and the label compatibility function, $\mu(\cdot, \cdot)$, which are both learned in the framework of [7].

Algorithm 1 shows how we can break this update equation down into simpler steps [7]. Moreover, we can see that these steps all consist of common CNN operations, and are all differentiable. Therefore, the authors were able to backpropagate through the mean-field inference algorithm, and into the original CNN. This allowed them to jointly optimise the parameters of the CNN and the CRF and achieve the best-published results on the VOC dataset at the time.

\begin{itemize}
  \item[a)] Expressing a mean-field iteration as a sequence of standard neural network operations: The “Message Passing” step, which involves filtering the approximated marginals, $Q$, can be computed efficiently using fast-filtering techniques that are common in signal processing literature [15]. This was leveraged by [7] to reduce the computational complexity of this step from $O(N^2)$ (the complexity of a naive implementation of the bilateral filter where $N$ is the number of pixels in the image) to $O(N)$. Computing the gradient of the output of the filtering step with respect to its input can also be performed using similar techniques. The next two steps, “Weighting Filter Outputs” and the “Compatibility Transform” can both be viewed as convolutions with a $1 \times 1$ kernel. In both cases, the parameters of these two steps were learnt as the neural network was trained. The addition step is another common operation that is trivial to implement in a neural network. Finally, note that both the “Normalising” and “Initialization” steps are equivalent to applying a softmax operation. This operation is ubiquitous in neural network literature as it is the activation function used in the multinomial logistic regression.

The fact that Zheng et al. [7] viewed the “Compatibility Transform” as a convolutional filter whose weights were learnt meant that they did not restrict themselves to the Potts model (Sec. II). This is in contrast to other methods such as [5] which cross-validated CRF parameters separately and assumed a Potts model, and is another reason for the improved performance of this method relative to the works published before it.

\item[b)] Mean-field inference as a Recurrent Neural Network: One iteration of the mean-field algorithm can be formulated as sequence of common CNN layers as shown in Fig. 5. By performing multiple mean-field iterations with the output of one iteration becoming the input of the next iteration, the mean-field inference algorithm can be formulated as a Recurrent Neural Network, as shown in Fig. IV-A. If we denote the unary potentials as $U$ (the output of the initial CNN), then one mean-field iteration can be expressed as $Q^{t+1} = f_{\theta}(U, Q^t, I)$ where $Q^t$ are the current estimation of the marginal probabilities and $I$ is the image. The vector, $\theta$ denotes the parameters of the mean-field iteration which are shared among all iterations. In the case of Zheng et al., they were the weights for the filter outputs, $w$, and the compatibility transform, $\mu(\cdot, \cdot)$ represented as a convolutional layer.

$Q^0$ is initialised as the softmax-normalised unary potentials (log probabilities) output by the initial CNN. Following the original DenseCRF work [4], Zheng et al. [7], computed a fixed number, $T$, of mean-field iterations. Thus the final output of the module can be read off as $Q^T$. In practice, $T = 5$ iterations were used by [7] as it was empirically observed that mean-field had converged at this time. Recurrent Neural Networks are known to be susceptible to the vanishing/exploding gradients problem [18]: computing the gradient of the output of one iteration with respect to its input requires multiplying by the parameters being learned. This repeated multiplication can cause the gradients to explode (if the parameters are greater than 1) or vanish (if the parameters are less than 1). However, the fact that only five iterations need to be performed in practice means that this problem is averted.
Fig. 6: The final end-to-end trainable network of Zheng et al. [7]. The final system consists of a Fully Convolutional Network (FCN) [6] followed by a CRF. The authors showed that the iterative mean-field inference algorithm could be unrolled and seen as a Recurrent Neural Network (RNN). This CRF inference module was named “CRF-as-RNN”.

As shown in Fig. 6, the final network implemented by Zheng et al. consists of a fully convolutional network [6], which predicts pixel-level labels without considering the structure of the output variables, followed by a CRF which can be trained end-to-end. The complete system therefore unites the strengths of CNNs – which can learn rich feature representations automatically from data – and CRFs – which can model the structure and correlations between the variables that are being predicted. Furthermore, parameters of both the CNN and CRF can be learned end-to-end via backpropagation.

In practice, Zheng et al. first trained a fully convolutional network (specifically the FCN8-s architecture of [6]) for semantic segmentation using the standard softmax cross-entropy loss. They then inserted the CRF-as-RNN layer and continued training their network, since it is necessary for the FCN part of the model to be initialised well before training the CRF. As shown in Table I, the CRF-as-RNN method outperformed DeepLab [47] (which also uses a fully convolutional network, but uses the CRF as a post-processing step) by 2%. In their paper, Zheng et al. [7] reported an improvement over just the fully convolutional network of 5.1%.

B. Incorporating Higher Order potentials

The CRF-RNN framework considered the particular case of unrolling mean-field inference as an RNN for the DenseCRF model. Arnab et al. [33] showed that this framework could be extended to different types of Higher Order potentials as well. Higher Order potentials (as mentioned in Section II), model correlations between cliques of pixels larger than two pixels as in DenseCRF.

Arnab et al. [33] considered two different higher order potentials: Firstly, a detection potential was formulated which encouraged consistency between the outputs of an object detector (i.e. Fig 1) and the final segmentation. This helped in cases where the segmentation unaries were poor and missed an object, but a complementary object detector had not. The potential was also formulated such that false detections could be ignored. A second potential was based on superpixels (a grouping of pixels into perceptually similar units, using specialised algorithms) and encouraged consistency over larger regions, helping to clean up spurious noise in the output. The mean-field updates for these more complex potentials were still differentiable, although they were no longer consisted of commonly-used neural network operations.

Higher Order potentials were shown to be effective in improving semantic segmentation performance before the adoption of deep learning [48]. In fact, potentials based on object detectors [49] and superpixels [50] had been proposed previously. However, by learning the parameters of these potentials jointly with the weights of an FCN, Arnab et al. [33] reduced the error of CRF-as-RNN by 12.6% on the VOC benchmark (Tab I).
TABLE II: Comparison of mean IoU (%) obtained on VOC 2012 reduced validation set from end-to-end and disjoint training (adapted from [33]).

<table>
<thead>
<tr>
<th>Method</th>
<th>Mean IoU [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unary only</td>
<td>68.3</td>
</tr>
<tr>
<td>Pairwise CRF trained disjointly</td>
<td>69.5</td>
</tr>
<tr>
<td>Higher Order CRF trained disjointly</td>
<td>72.9</td>
</tr>
<tr>
<td>Higher Order CRF trained end-to-end</td>
<td>73.6</td>
</tr>
<tr>
<td>Higher Order CRF trained end-to-end</td>
<td>75.8</td>
</tr>
</tbody>
</table>

Liu et al. [44] formulated another approach of incorporating higher order relations. Whilst [7] and [33] performed exact mean-field inference of a CRF as an RNN, Liu et al. approximated a single iteration of mean-field inference with a number of carefully designed convolution layers. Their “Deep Parsing Network” (DPN) was also trained end-to-end, although like [7], they first pre-trained the initial part of their network before finally training the entire network. As shown in Tab. I, this approach achieved very competitive results similar to [33]. Fig. 7 shows a comparison between FCN, CRF-as-RNN, DPN and the Higher Order CRF on a common image.

C. Benefits of end-to-end training

An alternative to unrolling mean-field inference of a CRF and training the whole network end-to-end is to train only the “unary” part of the network and use the CRF as a post-processing step whose parameters are determined via cross-validation. We refer to this approach as “disjoint” training of the CNN and the CRF, and show in Table II that end-to-end training outperforms disjoint training in the case of CRF-RNN [7], which only has pairwise potentials, and the Higher Order CRF of [33].

Many recent works in the literature have used CRFs as a post-processing step. However, as shown in Table I, the best performing ones have incorporated the CRF as part of the network itself. Intuitively, joint training of a CRF with a CNN allows the two modules to learn to optimally co-operate with each other.

D. Error analysis

It can be seen visually from Figures 3 and 7 that the densely-connected pairwise potentials of a CRF improve the segmentation quality at boundaries of objects. To quantify the improvements that CRFs make to the overall segmentation, we separately evaluate segmentation performance on the “boundary” and “interior” regions of the image, as done by [40] and [33]. As shown in Fig. 8c) and d), we consider a narrow band (trimap [50]) around the “void” labels annotated in the VOC 2012 reduced validation set. The mean IoU of pixels lying within this band is termed the “Boundary IoU” whilst the “Interior IoU” is evaluated outside this region. Fig. 8 shows our results as the trimap width is varied for FCN [6], CRF-as-RNN [7], DPN [44] and Higher Order CRF [33]. We can see that all the CRF models improve the Boundary IoU over FCN significantly, although CRF-as-RNN and Higher Order CRF are almost identical. Moreover, all the methods incorporating CRFs also show an improvement in the Interior IoU as well, indicating that the spatial and appearance consistency encouraged by CRFs is not limited to only improving segmentation at object boundaries. Furthermore, the higher order potentials of [33] and [44] show a substantial increase in Interior IoU over CRF-as-RNN, and an even bigger improvement over FCN. Higher order potentials encourage consistency over larger regions of the image [33] and model contextual relationships between object classes [44]. As a result, they show larger improvements at the interior of objects being segmented.

E. Other examples of unrolling inference algorithms in neural networks

Unrolling inference algorithms as neural networks is a powerful idea beyond semantic image segmentation. Riegler et al. [51] presented a method for depth-map super-resolution by unrolling the steps of an optimisation algorithm and formulating it as an end-to-end trainable network. Recently, Wang et al. [52] extended deep structured models to continuous valued output variables, and addressed tasks such as image denoising and depth refinement.

V. LEARNING ARBITRARY POTENTIALS IN CRFs

As the previous section shows, the joint training of a CNN and a CRF with Gaussian potentials is beneficial for the semantic segmentation problem. While it is able to output spatially- and appearance-consistent results, some applications may benefit even more from the usage of more generic potentials. Indeed, general potentials are able to incorporate more sophisticated knowledge about a problem than are Gaussian potentials. As an example, for the human body part segmentation problem, parametric potentials may enforce a high-level structural constraint, i.e. head should be located above torso as seen in Fig. 9a. Moreover, these potentials can acquire this knowledge automatically during training. And by training a pixel-level CNN jointly with these potentials, a synergistic effect can be obtained as observed in Sec. IV.

As mentioned in Section II, the main computational burden for probabilistic CNN-CRF learning consists in estimating marginal distributions for the configurations of individual variables and their pairs. Therefore, existing methods can be classified according to how they approximate these marginals. Each of the methods has different advantages and disadvantages and has a specific scope of problems where it is superior to the other. Below we briefly review three such methods, that are applicable to learn arbitrary pairwise and unary costs. Finally, we also describe methods that are able to learn arbitrary pairwise costs without computing marginals.

A. Stochastic sampling-based training

This approach to learning is based on the stochastic estimation of marginal probability distributions, as described in Section II-B. This method for training CNN-CRF models was proposed in [53], and applied to the problem of human
body-part segmentation where it was shown to outperform techniques based on DenseCRF as displayed in Fig. 9. Advantages of this method are that it is simple, and easy to parallelize. Along with a long training time, the disadvantages include the necessity to use very slow sampling-based energy minimization methods [16] for image segmentation during the prediction stage, when the neural network has already been trained. The slow prediction time is due to the fact that the best predictive accuracy is obtained when the training and prediction procedures are identical.

B. Piece-wise training

For estimating marginal probabilities, this method replaces the marginal probabilities $p_v(x_v)$ (see Section II for details) with values equal to the corresponding exponentiated costs $\exp(-\psi_v(x_v))$ up to a normalizing constant. Although such a replacement is not grounded theoretically, it allows one to train parameters for each node and edge of the graph independently, which is computationally very efficient and easily parallelizable. Despite the lack of theoretical justification, the method was employed by Lin et al. [32] to give good practical results (it was on top of the Pascal VOC and Cityscapes leaderboards for several months) on numerous segmentation datasets, as reflected by the Context entry in Tab. I.

C. Variational method based training

As referenced in Section II-C, the marginal probabilities were approximated with a variational technique during learning in [47]. In terms of theoretical justification the method can be positioned between stochastic training, as the most theoretically justified, and piece-wise training, which lacks such a justification. Practically, the running time in the classification regime is far more acceptable than those of the stochastic method of [53]. However, the scalability of the method is questionable because of its large memory footprint: along with the training set one has to store a set of current values of the working variables. The size of this set is proportional to the number of training samples multiplied by (i) the number of nodes in a graphical model corresponding to each sample (which can be roughly estimated as a number of pixels in the corresponding image), (ii) the number of edges in each graphical model and (iii) the number of possible variable configurations, which can be assigned to each graph node. In total, this typically requires one or even two orders of magnitude more storage than the training set itself. So far, this method has not been shown on large training sets.

D. Learning by backpropagating through inference

Formulating the steps of CRF inference as differentiable operations enable both the learning and inference of the CRF to be incorporated in a standard deep learning framework, without having to explicitly compute marginals. An example of this is “CRF-as-RNN”, previously detailed Sec. IV-A.

However, in contrast to that approach, we can look at the inference problem from a discrete optimisation point of view. Here, it can be seen as an integer program where a given cost should be minimised while satisfying a set of constraints (each pixel should be assigned one label). An alternative inference approach is to do a continuous relaxation (allowing variables to be real-valued instead of integers) of this integer
Fig. 9: Human body parts segmentation with generic pairwise potentials. (a) (From left to right). The input depth image. The corresponding ground truth labelling for all body parts. The result of a trained CNN model. The result of CRF-as-RNN [7] where the pairwise potentials are a sum of Gaussian kernels. The result of [53] that jointly train CNN and CRF with general parametric potentials. Note how the hands and elbows are segmented better. (b) Weights for pairwise potentials that connect the label “left torso” with the label “right torso”. Red means a high energy value, i.e. a discouraged configuration, while blue means the opposite. The potentials enforce a straight, vertical border between the two labels, i.e. there is a large penalty for “left torso” on top (or below) of “right torso” (x-shift 0, y-shift arbitrary). Also, it is encouraged that “right torso” is to the right of the “left torso” (Positive x-shift and y-shift 0). (c) Weights for pairwise potentials that connect the label “right chest” with the label “right upper arm”. It is discouraged that the “right upper arm” appears close to “right chest”, but this configuration can occur at a certain distance. Since the training images have no preferred arm-chest configurations, all directions have similar weights. Such relations between different parts cannot by the Gaussian kernels used in CRF-as-RNN.

program and search for a feasible minimum. The solution to the original discrete problem can then be derived from the solution to the relaxed problem. Desmaison et al. [55] presented methods to solve several continuous relaxations of this problem. They showed that these methods generally achieve lower energies than mean-field based approaches. In the work of Larsson et al. [54], a projected gradient method based on only differential operations is presented. This inference method minimises a continuous relaxation of the CRF energy and the weights can be learned by backpropagating the error derivative through the gradient steps. An example of the pairwise potentials learned by this method is shown in Fig. 10. Chandra and Kokkinos [8] solve the energy minimization problem by formulating it as a convex quadratic program and finding the global minimum by solving a linear system. As seen in Tab. I, it is the best-published approach on the PASCAL VOC 2012 dataset at the time of writing.

E. Methods based on discriminative learning

There is another, discriminative learning technique, which does not require the computation of marginal distributions. This class of methods formulate the learning as a structured support vector machine (SSVM), which is an extension to the support vector machine allowing structured output. Since solving these usually requires doing inference for several setups of weights an efficient inference method is crucial. Larsson et al. [56] utilised this for medical image segmentation doing inference with a highly efficient graph-cut method. Knobelreiter et al. [57] proposes a very efficient GPU-parallelized energy minimization solver to efficiently perform inference for the stereo problem. They show how learning can be made practically feasible by an SSVM formulation.

VI. Training Considerations

Optimising deep neural networks in general requires careful selection of training hyperparameters, most notably the learning rate. The networks described in this paper, which integrate CRFs and CNNs, are typically trained in a two-stage process [7], [8], [33], [44], [53], [54]: First, the “unary” part of the network is trained, and then the part of the network modelling inference of the CRF is appended and the network is trained end-to-end. It is not recommended to train from
Fig. 10: Pairwise potentials, represented as convolutional filters, learned by the method of [54]. These filters, shown on top, model contextual relationships between classes and their values can be understood as the energy added when setting one pixel to the first class (e.g., vegetation) and the other pixel with relative position (x-shift,y-shift) to the second class (e.g., traffic sign). The bottom row shows an example result on the Cityscapes dataset. The traffic lights and poles (which are challenging due to their limited spatial extent) are segmented better, and it does not label “road” being on top of the “sidewalk”, due to the modelling of contextual relationships between classes.

Fig. 11: Empirical convergence of CRF-as-RNN [7]. The mean IoU on the Pascal VOC 2012 reduced validation set starts plateauing after five iterations of mean-field inference, supporting the authors’ choice of training their network with five iterations of mean-field. The result at 0 iterations is the mean IoU of only the unary network.

VII. CONCLUSIONS AND FUTURE DIRECTIONS

Conditional Random Fields (CRFs) have a long history in structured prediction tasks in computer vision, such as semantic segmentation. Deep Neural Networks (DNNs), on the other hand, have recently been shown to achieve outstanding results due to their ability to automatically learn features from large datasets. In this article, we have discussed various approaches of how CRFs have been combined with DNNs to tackle pixel-labelling tasks such as semantic segmentation. The most basic method is to simply use the outputs of a DNN as the unary potentials of a well studied CRF model (such as DenseCRF [4]) as a separate post-processing step e.g. [47]. We then discussed how the mean-field inference algorithm for CRFs could be formulated as a Recurrent Neural Network, and thus be incorporated as another module or “layer” of an existing neural network. This method, however, was limited to only pairwise potentials of a specific form. Finally, we described several approaches to learning arbitrary potentials in CRFs. We also noted that the idea of unrolling inference algorithms as neural networks has since been applied in other fields as well.

As neural networks are universal function approximators, it is possible that network architectures could be designed that do not require explicit CRFs to model smoothness priors and achieve the same performance. This, however, remains an open research question as our understanding of DNNs is still very limited. Moreover, it is not clear if the smoothness priors incorporated by a CRF could be modelled by generic neural network layers as efficiently (in terms of the number of parameters). It is also an open question as to whether we need...
to develop more sophisticated training algorithms to achieve this.

The works described in this article have all been fully supervised learning scenarios, where large costs have been incurred in collecting datasets with per-pixel annotations. Given the substantial increase in segmentation performance on public benchmarks such as Pascal VOC [31], a future direction is to achieve similar accuracy levels using weakly supervised annotations (for example, image tags as annotation). In such scenarios with limited annotations, incorporating additional prior knowledge is of greater importance, and CRFs provide a method of doing so [58], [59].

Instance Segmentation (Fig 1) is another emerging area of scene understanding research, and early works have incorporated end-to-end CRFs within their systems [60].

For some tasks (such as face detection on cameras), rough bounding boxes suffice. However, pixel-level understanding of a scene is required for tasks such as autonomous vehicles and medical diagnosis where detailed information is required. Advances in pixel-level prediction, along with holistic models which address multiple scene understanding tasks, are bringing us closer to computers which understand our physical world and help enrich it.

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